



<b>POLICY NUMBER:</b>	<b>EL- 1</b>
<b>ISSUING AUTHORITY:</b>	<b>Board of Directors</b>
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<b>ISSUE DATE:</b>	<b>July 19, 2012</b>
<b>REVIEW DATE:</b>	<b>February 28, 2022</b>
<b>REVISE DATE:</b>	<b>October 30, 2018</b>

**SUBJECT:**     **Executive Limitation:**  
**GLOBAL EXECUTIVE RESTRAINT & RISK MANAGEMENT**

**REFERENCE BOARD END:**

- Healthy people and healthy environment
- Accessible health services
- Safe, people-centred quality health care
- Sustainable, accountable and responsive health organization

**POLICY:**

1. The CEO shall not cause or allow any practice, activity, decision, or organizational circumstance which is either illegal, imprudent, in violation of commonly accepted organizational and professional ethics and practices, or in contravention to Manitoba Health, Seniors and Active Living (MHSAL), provincial or federal regulations.
2. The CEO shall not fail to align decision-making processes with Southern Health-Santé Sud's core values: Integrity, Compassion, Excellence and Respect.
3. The CEO shall not fail to create and sustain a comprehensive approach to risk management in accordance with the Provincial Risk Management Framework (see attached) as endorsed by Manitoba Health, Seniors and Active Living<sup>1</sup>.
4. The CEO shall not fail to report to the Board annually on Southern Health-Santé Sud's risk profile, risk tolerance and effectiveness of actions and/or controls in place.
5. The CEO shall not fail to report to the Board on appropriate risk status and mitigation efforts in a timely manner and in accordance with EL-9.

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<sup>1</sup> MHSAL Policy HCS 200.4 Integrated Risk Management Strategy

## PROVINCIAL RISK MANAGEMENT FRAMEWORK

### RISK CATEGORIES

Strategic		Operational				Compliance	Financial
Governance & Leadership	Stakeholder Relations	Patient Care	Human Resources	Information Technology	Physical Assets	Compliance	Financial
<p><b>Governance</b></p> <ul style="list-style-type: none"> <li>Board structure &amp; Performance</li> <li>Culture and Ethics</li> <li>Control/Risk Environment</li> <li>Social Responsibility</li> </ul> <p><b>Planning and Resource Allocation</b></p> <ul style="list-style-type: none"> <li>Organizational Structure</li> <li>Strategic Planning</li> <li>HR Strategy</li> <li>IT Strategy</li> <li>Partnership Development &amp; Management</li> </ul> <p><b>External Environment</b></p> <ul style="list-style-type: none"> <li>Demographics</li> <li>Lifestyle trends</li> <li>Socio-Political</li> <li>Economic Factors</li> <li>Hazards (Pandemic, terrorism, natural disasters, etc).</li> <li>Business Continuity</li> </ul> <p><b>Major Initiatives</b></p> <ul style="list-style-type: none"> <li>Vision and Direction</li> <li>Planning and Execution</li> <li>Measurement and Monitoring</li> <li>Business Acceptance</li> </ul> <p><b>Corporate Reputation</b></p> <p><b>Communication and Relations</b></p> <ul style="list-style-type: none"> <li>Public and Media Relations</li> <li>Patient Relations</li> <li>Government Relations</li> <li>Employee Communication</li> <li>Vendor relations</li> <li>Union Relations</li> <li>Crisis Communication</li> </ul>		<p><b>Service Delivery</b></p> <ul style="list-style-type: none"> <li>Admission, Transfer and Discharge</li> <li>Assessment &amp; Diagnostics</li> <li>Care &amp; Service Accessibility</li> <li>Care Plan/Service Plan</li> <li>Treatment</li> <li>Coordination of Services</li> <li>Patient Rights</li> <li>Medication Management</li> </ul> <p><b>Support Services</b></p> <ul style="list-style-type: none"> <li>Supply Chain</li> <li>Food and Laundry services</li> <li>Facilities Management</li> <li>Health Information Management</li> </ul> <p><b>Patient Safety</b></p> <ul style="list-style-type: none"> <li>Infection Prevention Control</li> <li>Incident Reporting</li> <li>Education &amp; Training</li> <li>Security</li> </ul> <p><b>Recruitment, Selection &amp; Retention</b></p> <ul style="list-style-type: none"> <li>Credentialing</li> <li>Medical staff</li> <li>Support staff</li> <li>Contractual staff</li> <li>Employment Equity</li> </ul>	<p><b>Development &amp; Performance</b></p> <ul style="list-style-type: none"> <li>Training and Education</li> <li>Succession Planning</li> <li>Performance Management</li> </ul> <p><b>Labor Relations</b></p> <ul style="list-style-type: none"> <li>Labor Agreements</li> <li>Contingency Planning</li> </ul> <p><b>Compensation &amp; Benefits</b></p> <ul style="list-style-type: none"> <li>Salary Administration and Compensation management</li> </ul> <p><b>Employee Safety</b></p> <ul style="list-style-type: none"> <li>Health and Safety</li> </ul> <p><b>IT Management</b></p> <ul style="list-style-type: none"> <li>E-Health</li> </ul> <p><b>Access &amp; Security</b></p> <p><b>System Reliability &amp; Continuity</b></p> <ul style="list-style-type: none"> <li>Backup and recovery</li> <li>Disaster recovery</li> </ul> <p><b>System Integrity</b></p> <p><b>End User Support &amp; Education</b></p> <p><b>Infrastructure</b></p> <ul style="list-style-type: none"> <li>Asset replacement, deployment and retirement</li> <li>Inventory Management</li> </ul>			<p><b>Asset Management</b></p> <ul style="list-style-type: none"> <li>Replacement Management</li> </ul> <p><b>Capital/Construction</b></p> <p><b>Acquisition &amp; Disposal</b></p> <ul style="list-style-type: none"> <li>Asset Acquisition</li> <li>Commitment Tracking &amp; Processing</li> <li>Contract/Project Management</li> <li>Asset Disposal</li> </ul> <p><b>Equipment Acquisition, Maintenance &amp; Disposal</b></p> <ul style="list-style-type: none"> <li>Equipment Acquisition</li> <li>Commitment Tracking &amp; Processing</li> <li>Contract Management</li> <li>Maintenance</li> <li>Equipment Obsolescence &amp; Disposal</li> </ul> <p><b>Site/Asset Security</b></p> <ul style="list-style-type: none"> <li>Asset storage &amp; handling</li> <li>Facilities</li> <li>Security and Access</li> </ul> <p><b>Inventory Management</b></p>	<p><b>Standards of Business Conduct</b></p> <ul style="list-style-type: none"> <li>Ethics</li> <li>Fraud</li> <li>Conflict of Interest</li> <li>Industry Relations</li> </ul> <p><b>Legal &amp; Regulatory</b></p> <ul style="list-style-type: none"> <li>Governing Legislation, Bylaws &amp; Regulations</li> <li>Contractual Agreements</li> <li>Professional Regulatory Bodies</li> <li>Prescribed Standards of Practice</li> <li>Data Protection</li> <li>Privacy</li> <li>Environmental, Health &amp; Safety</li> <li>Research</li> <li>Facility Standards</li> <li>Procurement Practices</li> <li>Patient Safety</li> <li>Intellectual Property</li> </ul> <p><b>Funding</b></p> <ul style="list-style-type: none"> <li>Capital</li> <li>Operating</li> <li>Debt</li> <li>Foundation</li> </ul> <p><b>Market</b></p> <ul style="list-style-type: none"> <li>Interest Rates</li> <li>Foreign Exchange</li> <li>Financial Instruments</li> <li>Investments</li> </ul> <p><b>Liquidity and Credit</b></p> <ul style="list-style-type: none"> <li>Cash Management</li> <li>Credit and Collections</li> <li>Insurance</li> </ul> <p><b>Accounting and Reporting</b></p> <ul style="list-style-type: none"> <li>Accounting, Reporting &amp; Disclosure</li> <li>Internal Control Requirements</li> <li>Trust Account/Special Purpose Accounts</li> </ul> <p><b>Pension Funding</b></p> <p><b>Budgeting and Forecasting</b></p> <p><b>Taxes (Property, Commodity)</b></p>

The above sub categories are a sample and are at the discretion of the Health Authority